



AEO and Risk Management WCO AEO Conference

May 2016



PROTECTING NEW ZEALAND'S BORDER

Supply Chain Security strategy



Principles of Trade Management at the NZ Border

- » High assurance, light touch;
- » Risk is managed as early as practicable in the supply chain;
- » Partnerships to manage risk benefit everyone;
- » Rules are accessible and easy to understand;
- » Resources are targeted to areas of greatest importance;
- » Decision making is driven by complete, accurate and timely information.

NZ's approach to Risk Management

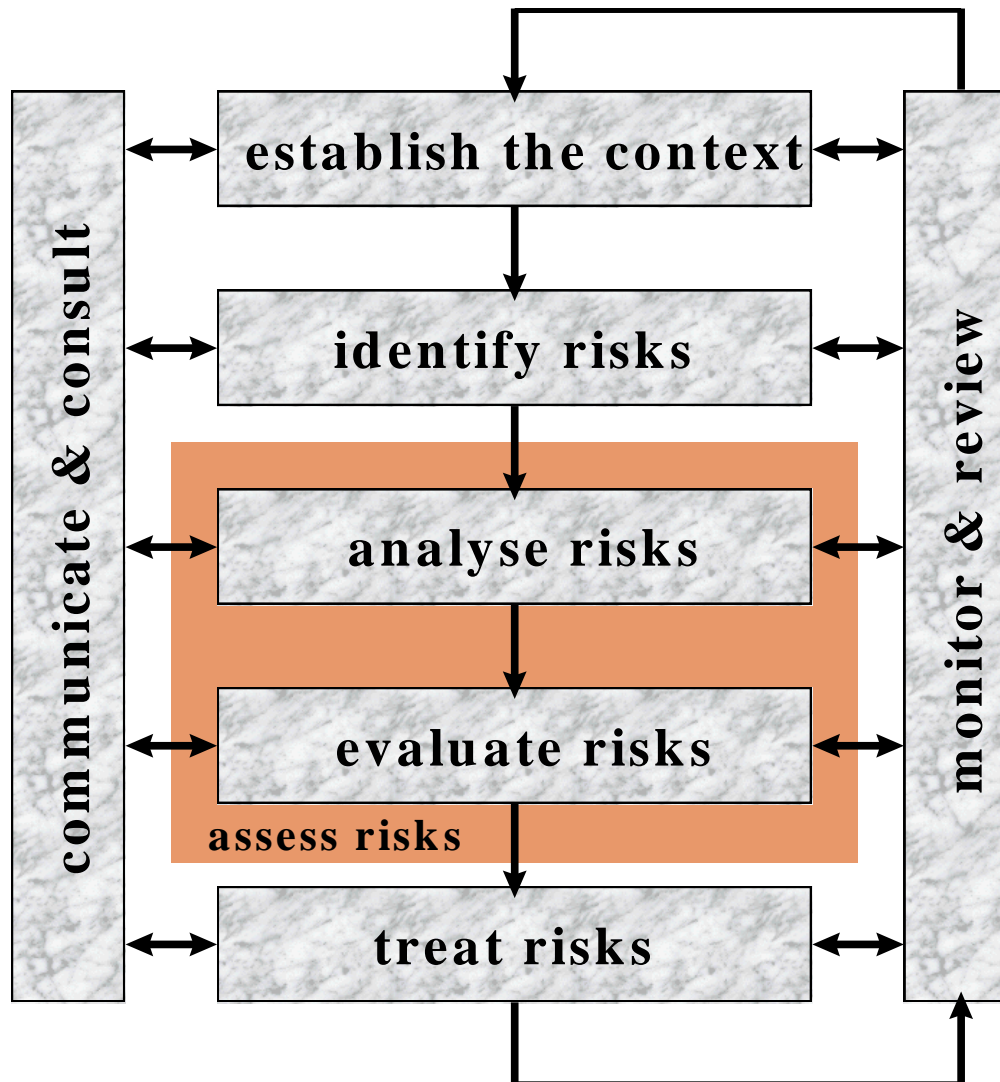
Standard Methodology:

- » Single standard across Government;
- » Supports better decision making;
- » Flexible and adaptable;
- » Universal application; and
- » Allows for the control of impacts.

The Economic Benefits:

- » Increased predictability and facilitation for business;
- » Reduced compliance costs;
- » Integrity of supply chain remains; and
- » Comprehensive risk assessment.

NZ's approach to Risk Management



Secure Exports Scheme

- » Operates through voluntary co-operative partnership
- » Customs & Excise Act 1996 provides legal authority
- » Customs-approved seal identifies as being subject to Customs control

Risk Management of Partnership status

- » Intelligence assessment
- » Data integrity audit
- » Application including:
 - » Security Plan
 - » Process map
 - » Site plan
- » Physical site assessment



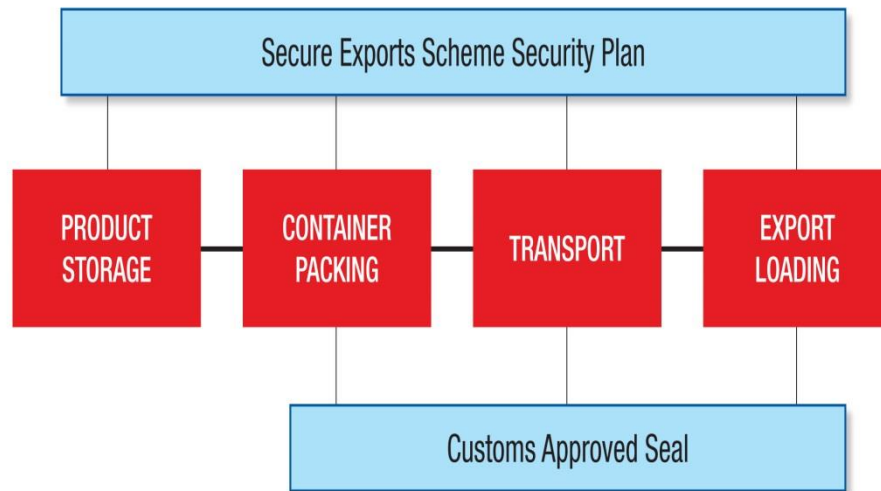
SES Partnership approval

- » Approval document sets out terms of the scheme
- » Explicit acknowledgement of legislative obligations
- » Approved secure sites
- » Approved transport operators
- » Contact information



Secure Exports Scheme

Critical Control Points





Assurance over Partners

Ongoing Customs validation & audit programme

Focus on Control Points

Compliance Rating

Feedback into intel system

