

3rd Global AEO Conference



Cancun Quintana Roo, Mexico. May 11th to 13th, 2016

WCO AEO Validator Guide

Carrying out of Customs AEO Validation controls





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5. Validation procedure
6. Reporting and Follow-up
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1. HISTORY

- **Concern and request by several WCO Member**
- **Discussed and agreed in SAFE WG meeting**
- **Guide and training module to enhance Auditors/validators credibility or professionalism**
- **To harmonize validation procedure/process**
- **To provide transparency & predictability to traders**



2.BACKGROUND and AIM

BACKGROUND

- **SAFE Framework of standards**
- **Partnership customs - economic operator**
- **Criteria to satisfy**
- **Role Validation (Validator)**
- **Improve Risk Management and better use customs resources**



2.BACKGROUND and AIM

AIM

- Create set of competences
- Set out essential elements to carry out validation
- Provide practical guidance
- Promote a Common approach





3. FRAMEWORK

- **SAFE Framework of Standards**
- **Scope**
- **Organisation**





4. VALIDATOR'S PROFILE





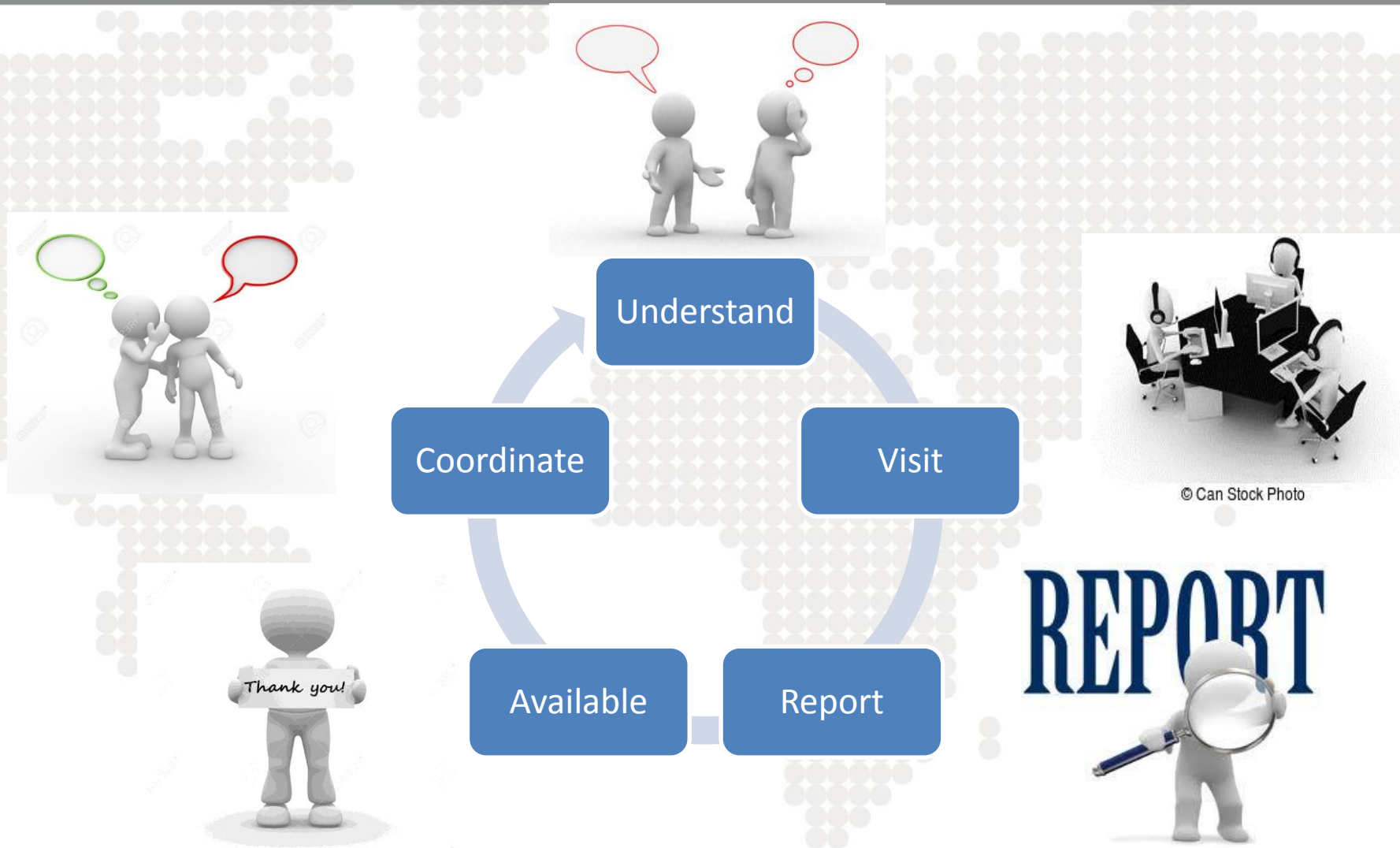
4. VALIDATOR'S PROFILE

COMPETENCES/SKILLS

- **General competences**
 - ✓ Knowledge
 - ✓ Skills
 - ✓ Practical experience
 - ✓ Professional values, ethics and attitudes
- **Validator competences**
- **External knowledge/development**



4. VALIDATOR'S PROFILE



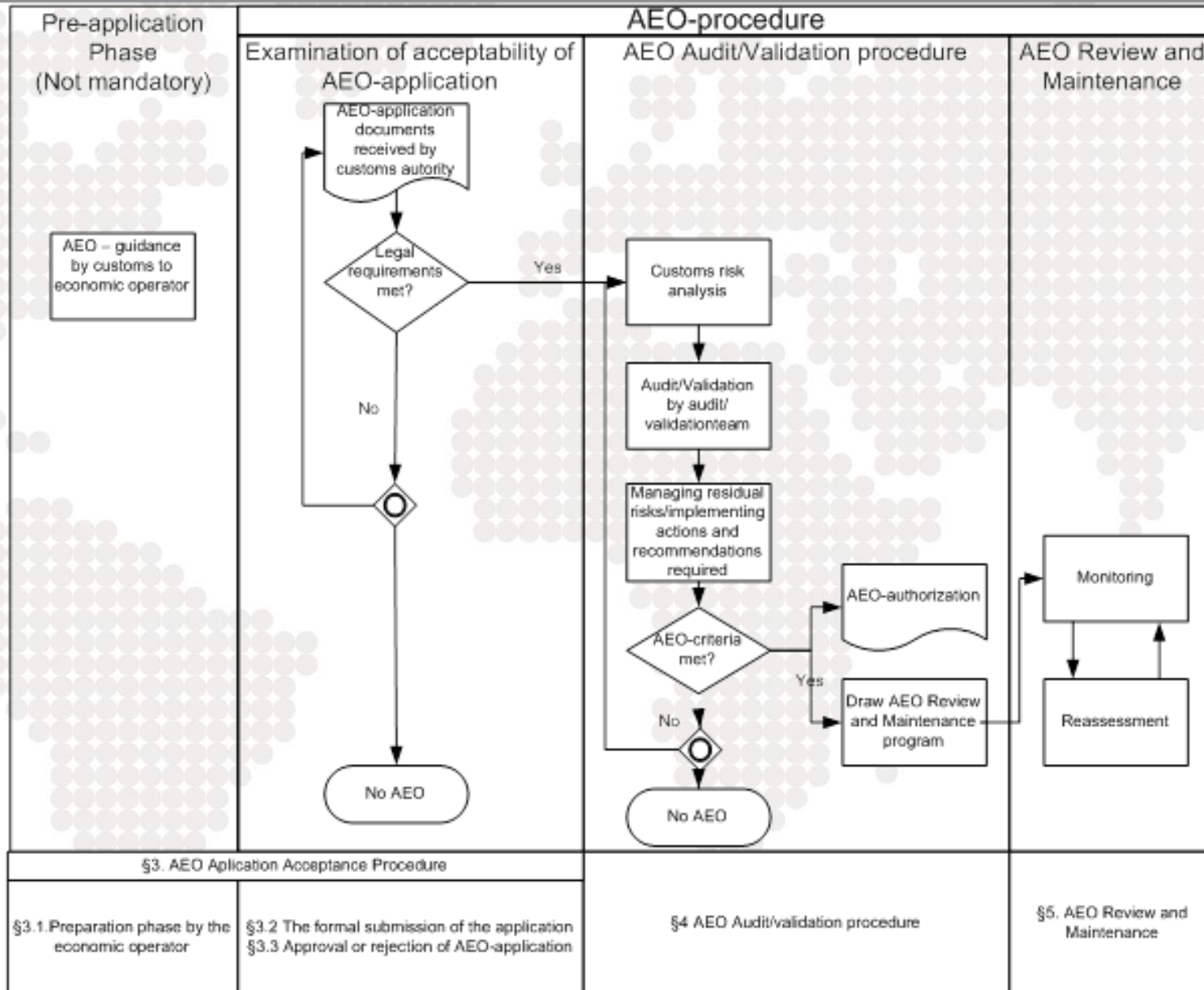


5. VALIDATION PROCEDURE





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PREPARATION PHASE



- Self-assessment
- Further information provided by traders
- Other sources



4. VALIDATION PROCEDURE

ASSESSMENT CRITERIA

- **Compliance**
 - ✓ With customs requirements and other related regulations
 - ✓ Satisfactory system management commercial records
 - ✓ Financial viability
- **Security**
 - ✓ Cargo
 - ✓ Trading Partner
 - ✓ Access building
 - ✓ Personnel
 - ✓ IT
- **Others**

**ANNEX IV
SAFE**



5. VALIDATION PROCEDURE

EXECUTING PHASE

- Visit
- Meeting
- Assessment criteria
- Risk mapping





6. REPORTING and FOLLOW-UP

- Reporting
- Monitoring
- Reassessment





7. QUALITY ASSURANCE and DEVELOPMENTS PROGRAMMES

- **Quality assurance**
 - ✓ **Consistent level of performance validators**
 - ✓ **Quality control system**

- **Training and development programme**

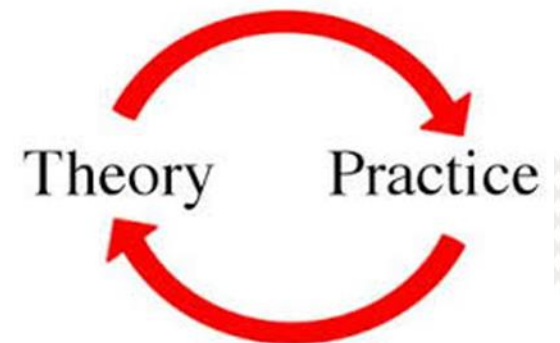




7. QUALITY ASSURANCE and DEVELOPMENTS PROGRAMMES

TRAINING

- ✓ Common approach on Training to equip officers with specific skills & information to successfully participate, manage, lead an AEO Validation
- ✓ Training material available
- ✓ Practice





8. Techniques/Practices

Practical techniques/practices of material for validators

- **EU SAQ + EU guidelines "Threats, Risks and Possible Solutions (to facilitate the validation adressed both to customs and economic operators)**
- **US best practice catalogue**
- **Canada PIP Training**
- **Other**



9. WAY FORWARD

GUIDE:

- Further INPUT
- FINALISE for SAFE meeting
- AVAILABILITY/USE (Who, where, how?)

DEVELOPMENT OF TRAINING MODULES



WCO Instruments for AEO

- **AEO Implementation Guidance**
 - **AEO Compendium**
 - **Model AEO Appeal Procedure**
 - **AEO Benefits**
 - **The AEO and SMEs**
 - **MRA Guidelines**
 - **AEO Template**
- AEO Validator Guide ... Soon!!**



QUESTIONS

